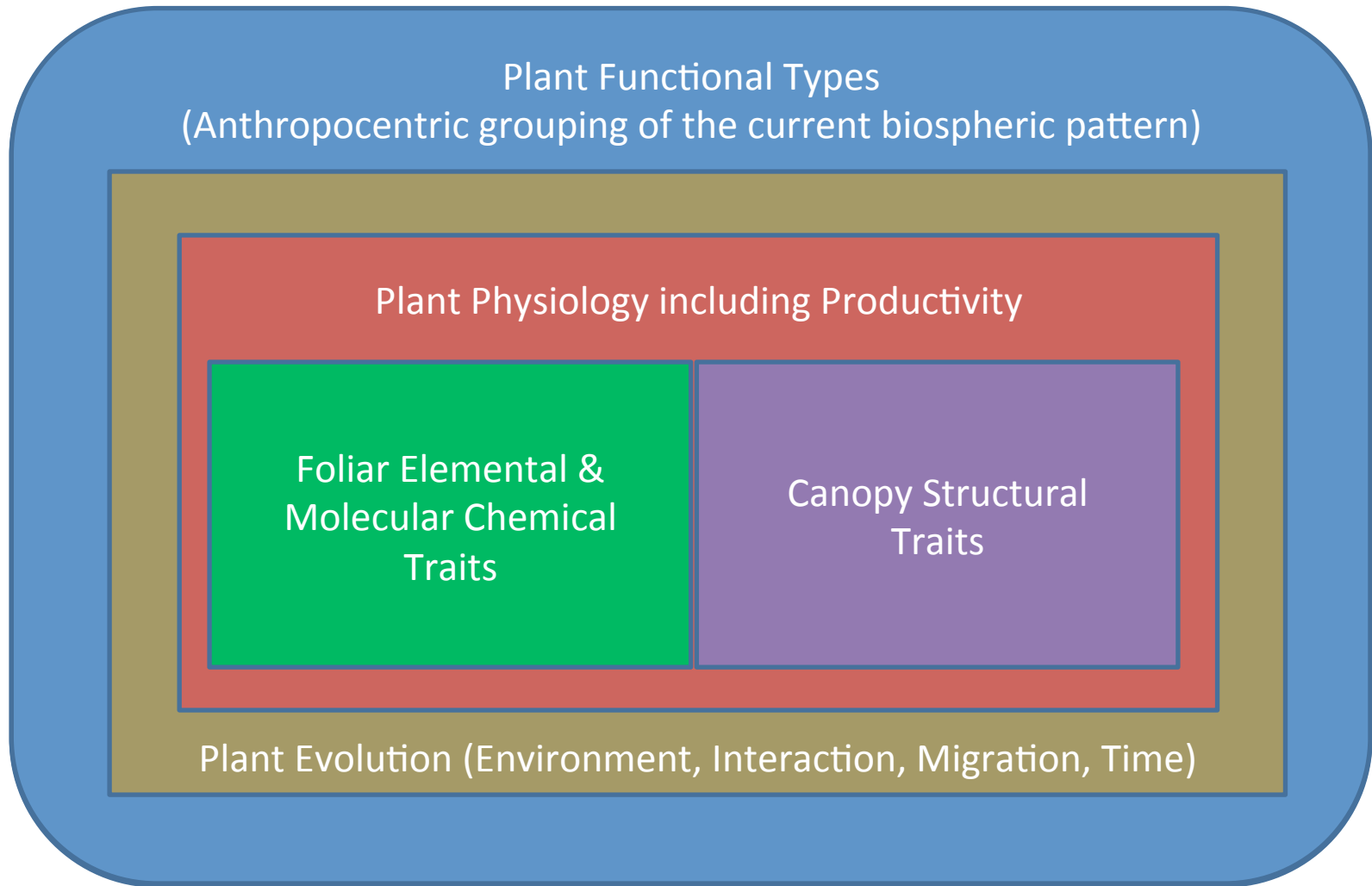




# Forest Functional Traits from VSWIR Imaging Spectroscopy

Greg Asner | Carnegie Institution for Science | [gpa@stanford.edu](mailto:gpa@stanford.edu)

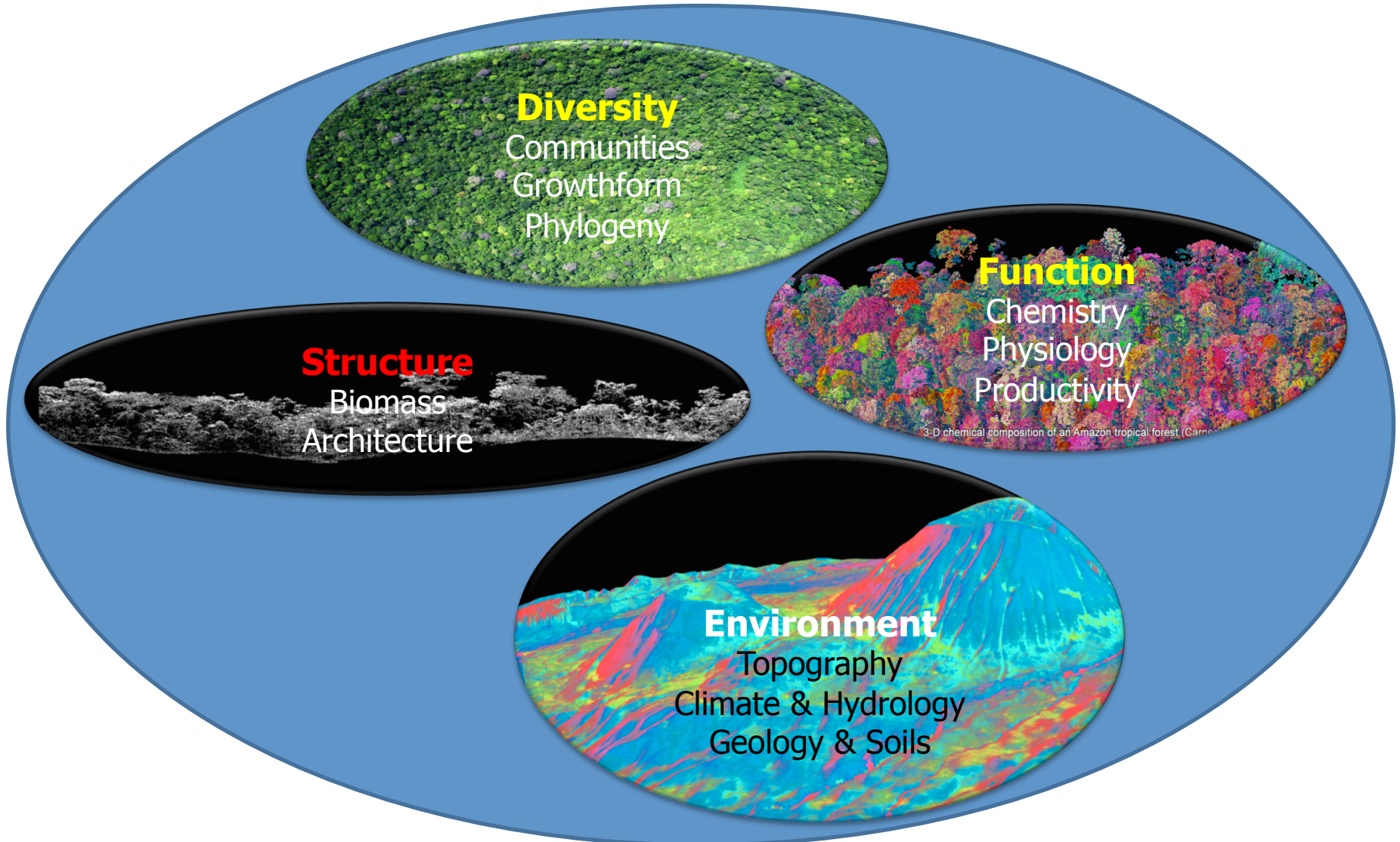
# How are plant functional “traits” and “types” related?





This generates a goal:

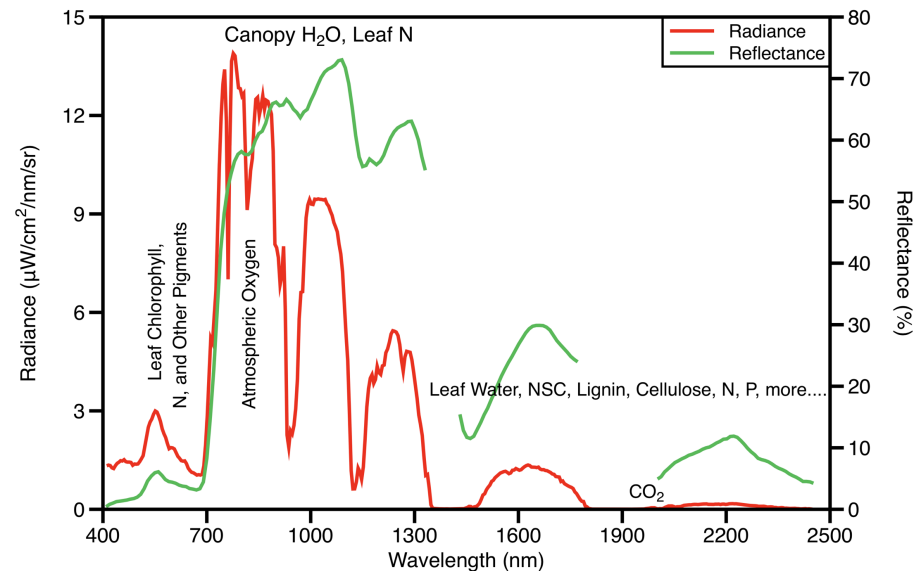
Develop time-varying maps of multiple plant traits to understand changing functional & biological diversity in the Earth system



# How do we treat our vegetation spectra?

## Brief History of Plant Spectroscopy in Biospheric Studies

- **1980s & prior (acclimation phase):** Lab and field spectroscopy; Measurements seeking chemical contributions to spectral reflectance
- **1990s (reductionism phase):** Going quantitative at lab, field and airborne levels; Over-emphasis on lab-like spectrometric techniques for estimation of chemical constituents; Over-emphasis on spectral absorption; Under-emphasis on spectral scattering-absorption (structure-function)
- **2000s (emergentism phase):** Recasting of canopy chemistry and spectroscopy in concept of plant functional types; “Plant spectral types”; Recasting of spectroscopy in plant physiological context; Rise of evolutionary thinking in canopy spectroscopy (Spectranomics)





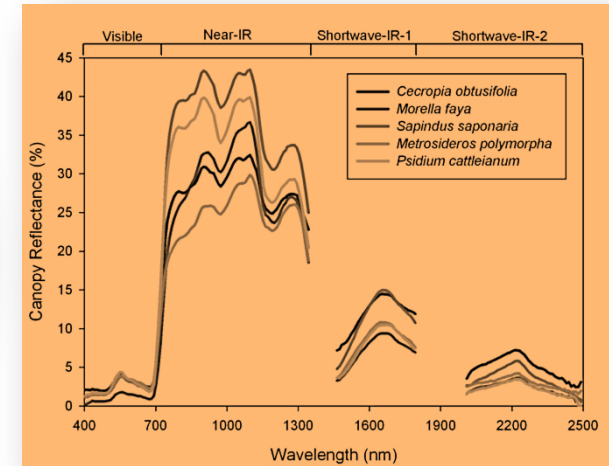
## Background and Purpose

- The **chemical diversity** of plants is an expression of **taxonomic & phylogenetic diversity**.
- **Chemical diversity** is, in turn, expressed in the **spectral signatures** of plant canopies.
- The Carnegie Spectranomics Project links **chemical, spectral and phylogenetic patterns** among plant canopy species.
- The overarching purpose of Spectranomics is to **explore the chemical assembly of forests**, and to develop **maps of forest functional & biological diversity based on chemistry**.

## Phylogeny



## Spectroscopy



## Canopy Chemistry





## Spectranomics Field Sites



### Project Status

- Collections contain about half of all known canopy tree species on Earth.
- Frozen Forest has more than **3.5 million foliar samples** for chemical, evolutionary, and remote sensing research.
- Standard assay provides **23 chemical traits** for each canopy specimen. Taxonomic vouchers permanently archived.
- **CSP spectroscopic and chemometric** analyses connect forest canopy species and their remotely sensed signatures.

### Spectranomics Database

**Chrysophyllum lucentifolium subsp. pachycarpum**

**Sample Info**

CODE: CSP01001  
 Genus: Chrysophyllum  
 Species: lucentifolium subsp. pachycarpum  
 Family: Sapotaceae  
 Date Collected: 07/11/2008  
 Taxon Info: Pires & T.D. Penn.  
 Habit: Tree  
 Sample Availability: Dried and Frozen

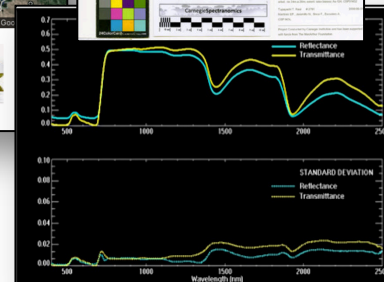
**Environment**

Site: Tambopata 1; TAM1, Peru  
 Country: Peru  
 Ecoregion: Neotropics  
 Holdridge Life Zone: Tropical Moist Forest  
 Latitude: -12.97  
 Longitude: -69.49  
 Elevation (m): 213  
 Soil Order: Ultisol  
 MAP (mm): 2600  
 MAT (°C): 24

**Images**

Sample Photo high res / low res

Voucher high res / low res





# July 2016: 10<sup>th</sup> anniversary

Global Ecology and Conservation 8 (2016) 212–219



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## Global Ecology and Conservation

journal homepage: [www.elsevier.com/locate/gecco](http://www.elsevier.com/locate/gecco)



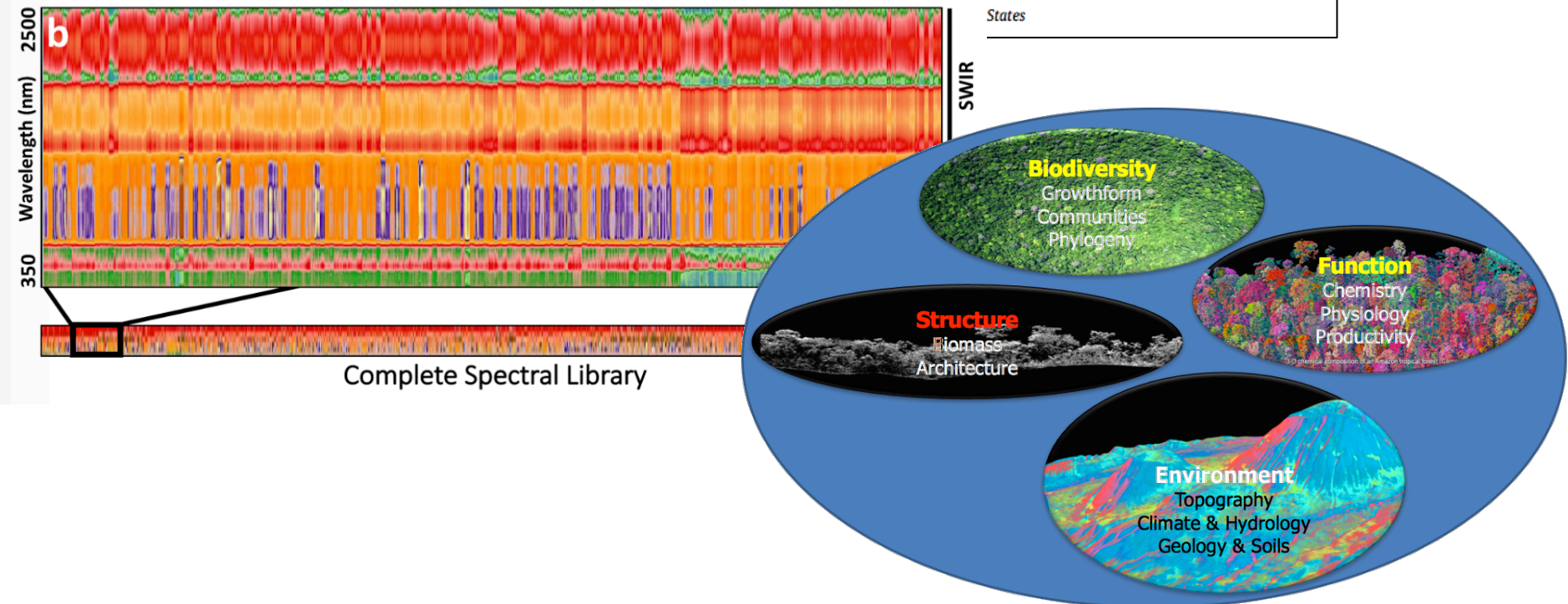
Review paper

### Spectranomics: Emerging science and conservation opportunities at the interface of biodiversity and remote sensing

Gregory P. Asner\*, Roberta E. Martin



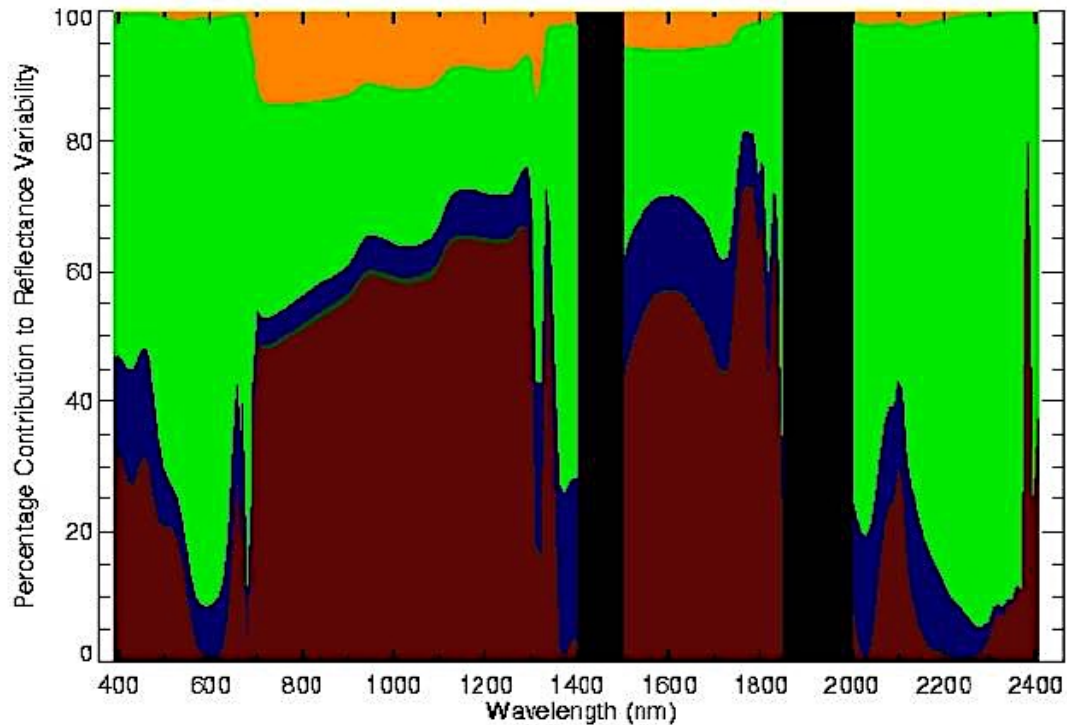
States



**Spectranomics Outcome Type 1:**  
**Scalable methods for functional trait mapping**  
**from imaging spectroscopy**



# First, what controls canopy reflectance of forests?



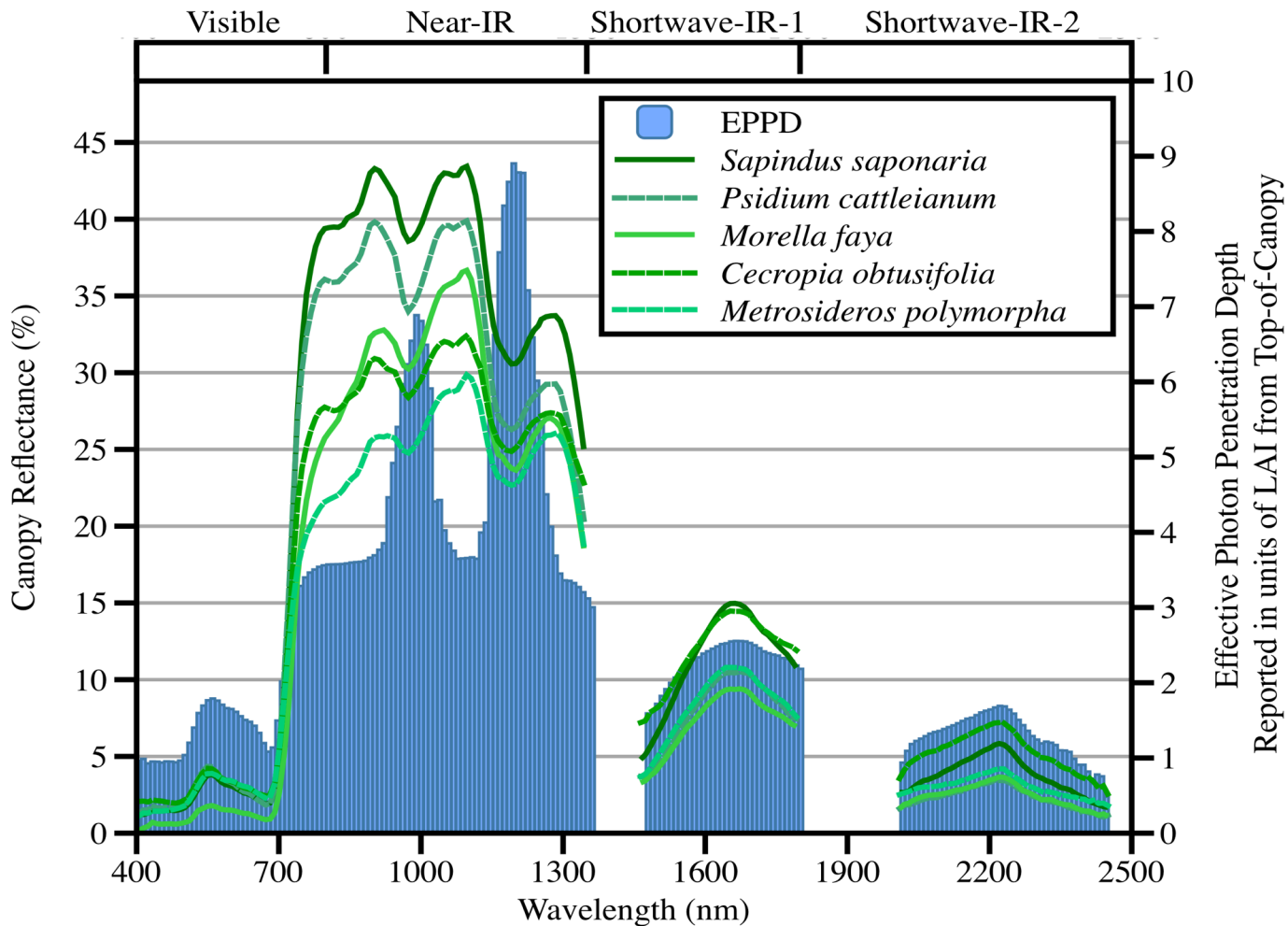
■ Canopy LAI, gaps & shade

■ Leaf Angle Orientation

■ Leaf Reflectance Chemistry

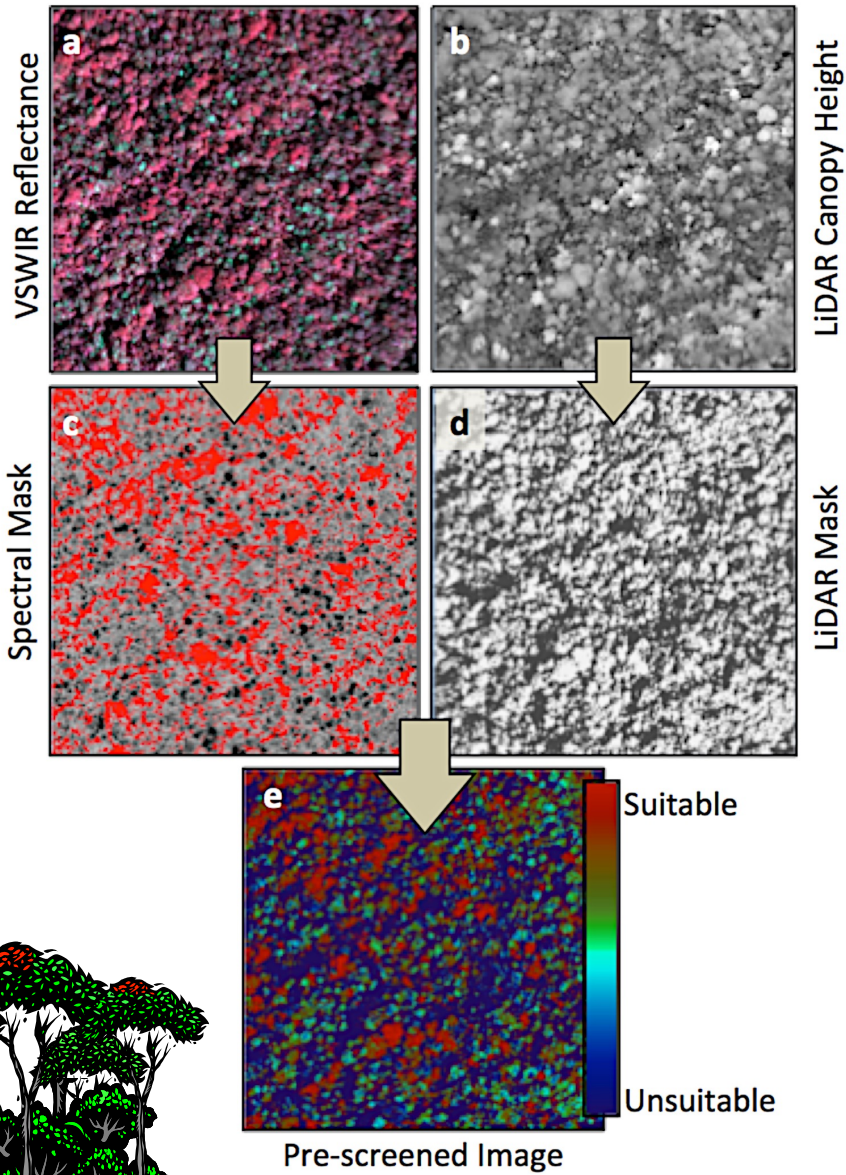
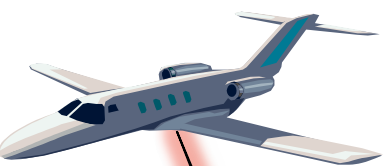
■ Leaf Transmittance Chemistry

# Concept of Effective Photon Penetration Depth (EPPD)





# Controlling for EPPD & Structural Geometric-Optics with Laser-guided Imaging Spectroscopy





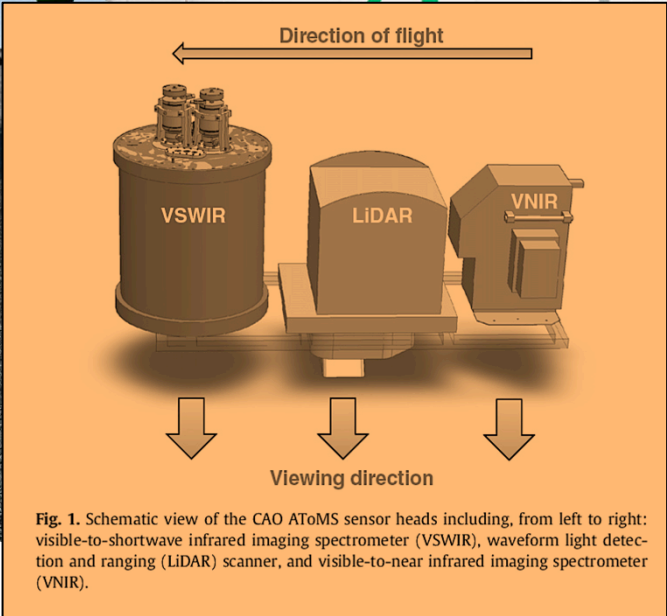
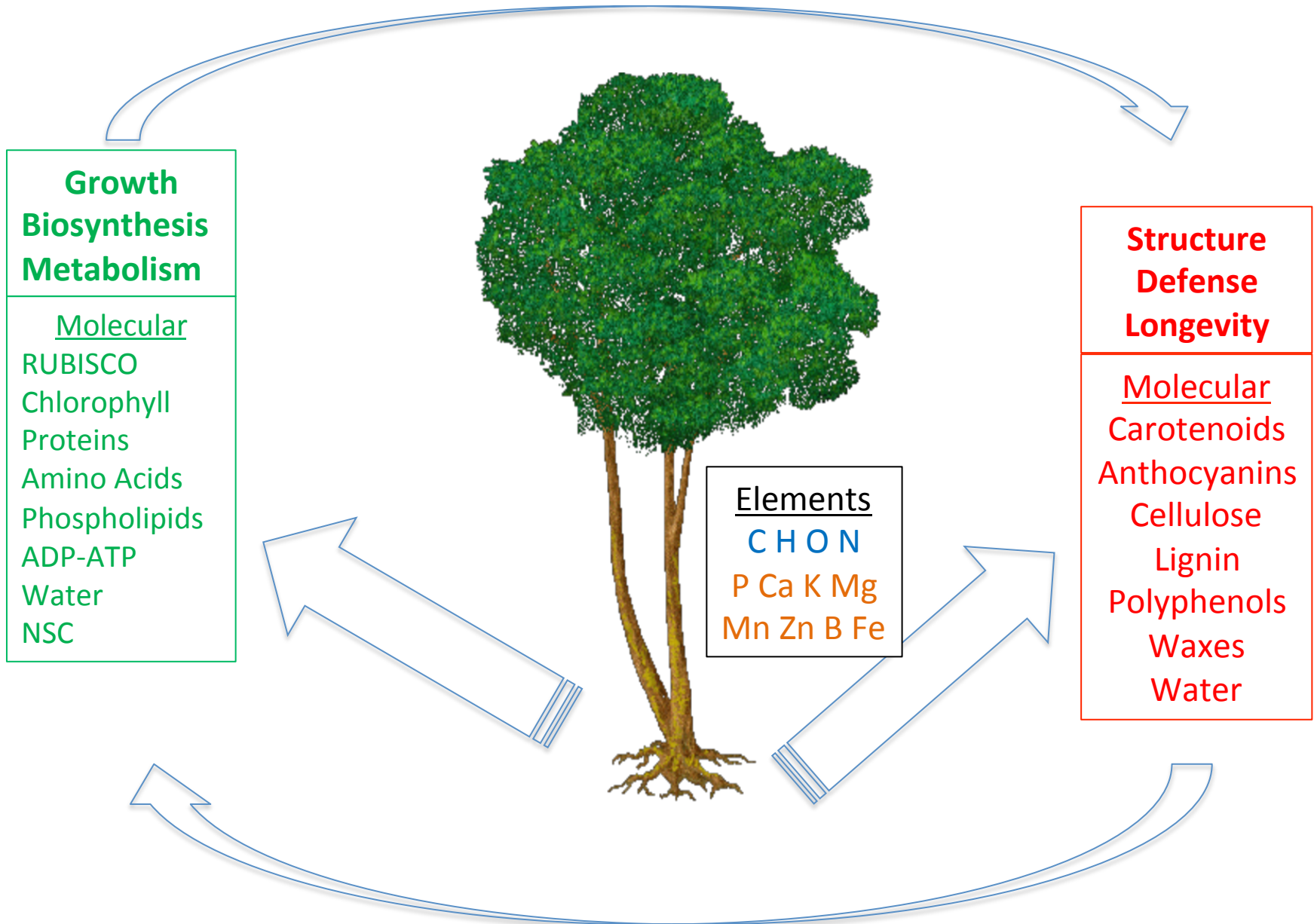


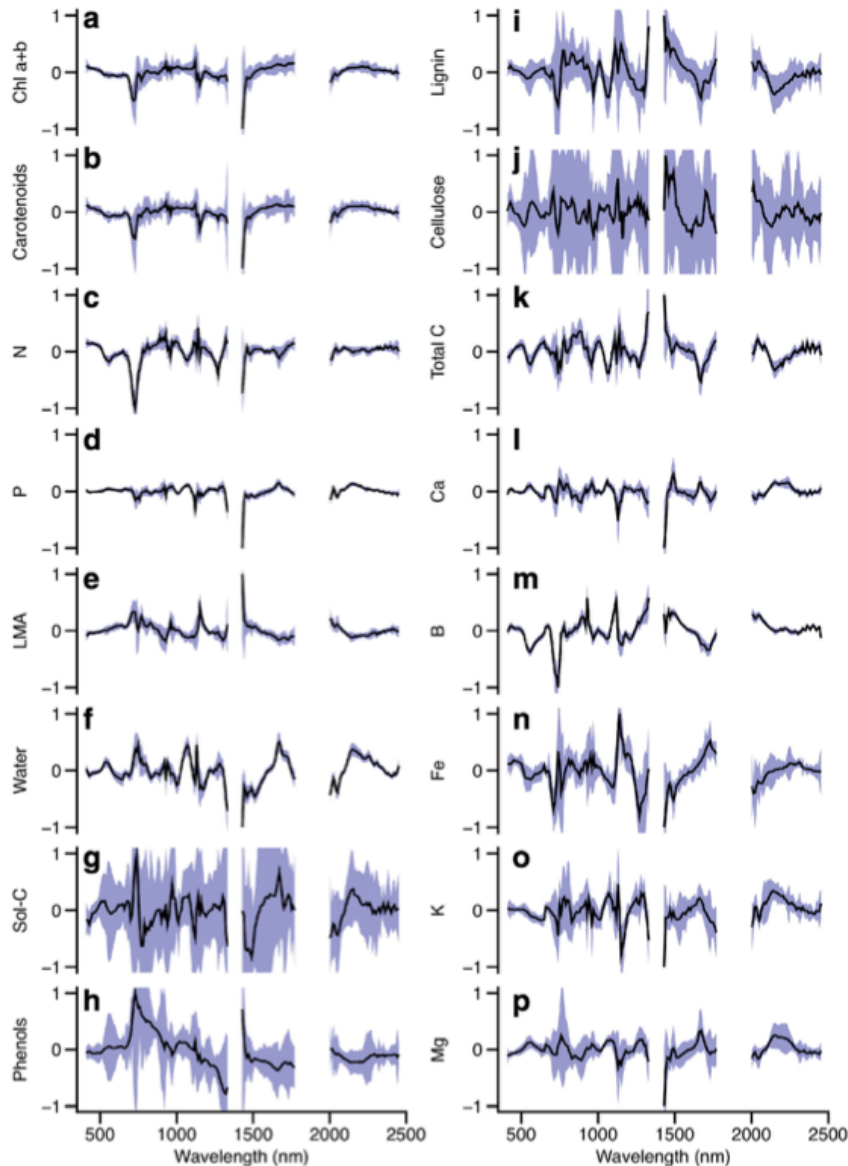
Fig. 1. Schematic view of the CAO ATOMS sensor heads including, from left to right: visible-to-shortwave infrared imaging spectrometer (VSWIR), waveform light detection and ranging (LiDAR) scanner, and visible-to-near infrared imaging spectrometer (VNIR).

# Concept of Evolved Chemical Constellations



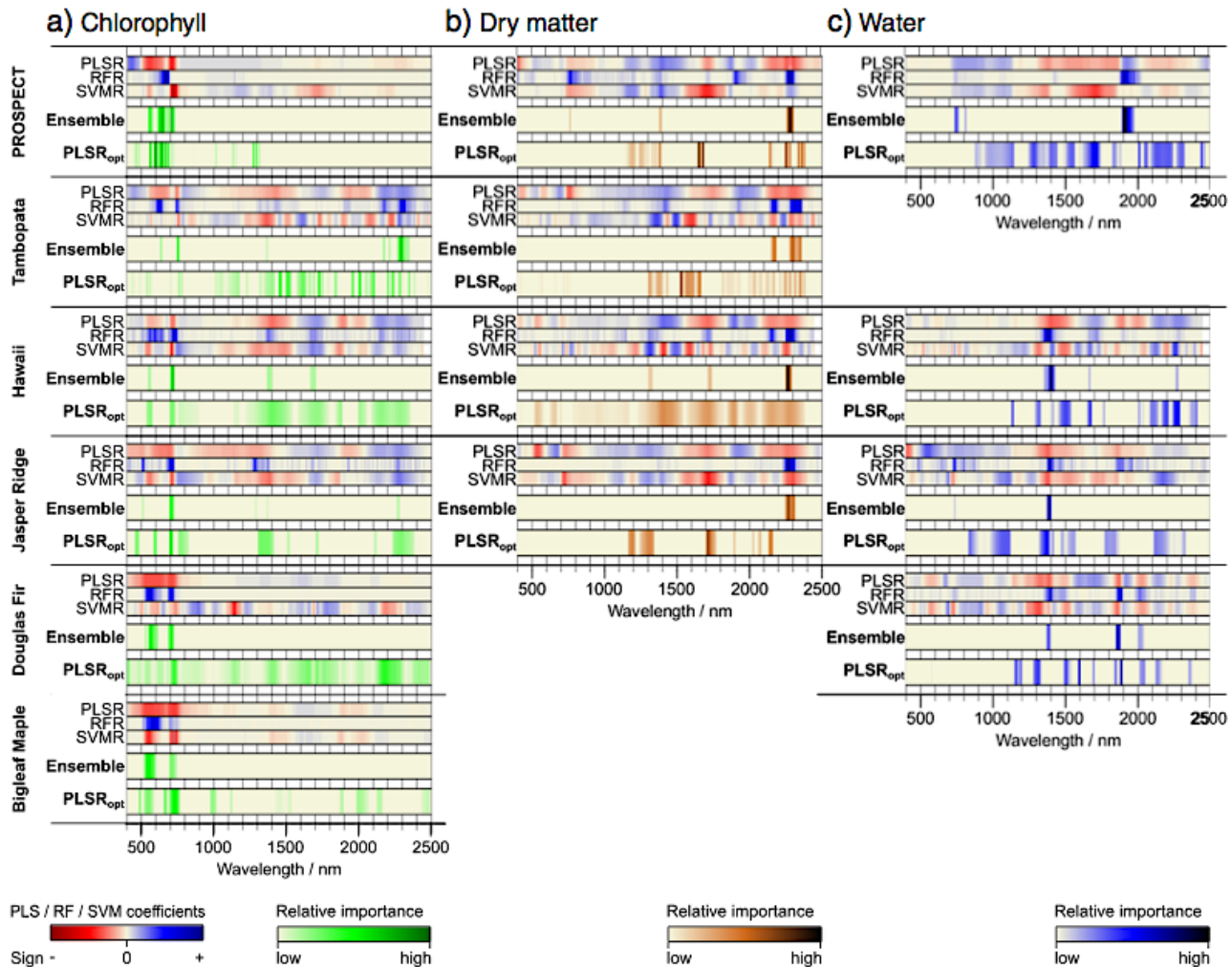


# High-fidelity imaging spectroscopy indicates molecular (thus, elemental) chemistry in plant canopies



	R <sup>2</sup>	RMSE	%RMSE
<i>Light capture and growth</i>			
<b>Chlorophyll a+b*</b>	0.71±0.07	0.84±0.10	15.66
<b>Carotenoids*</b>	0.64±0.08	0.16±0.02	13.15
<b>N*</b>	0.55±0.09	0.30±0.03	14.47
<b>P*</b>	0.72±0.10	0.02±0.00	16.59
<b>LMA*</b>	0.70±0.08	11.87±1.55	9.99
<b>Water</b>	0.49±0.13	2.95±0.38	5.22
<b>Soluble carbon</b>	0.50±0.14	4.40±0.87	9.16
<i>Structure and defense</i>			
<b>Phenols</b>	0.33±0.10	20.30±1.77	18.37
<b>Lignin</b>	0.54±0.15	3.51±0.62	14.94
<b>Cellulose</b>	0.39±0.12	2.33±0.34	14.34
<b>Total carbon</b>	0.71±0.16	1.35±0.35	2.67
<i>Maintenance and metabolism</i>			
<b>Ca**</b>	0.79±0.17	0.14±0.06	16.99
<b>B*</b>	0.53±0.07	7.31±0.89	43.33
<b>Fe*</b>	0.56±0.09	12.39±1.94	27.29
<b>K*</b>	0.42±0.22	0.15±0.04	24.57
<b>Mg*</b>	0.34±0.19	0.06±0.01	31.78

# The Latest Tech: Ensemble Chemical Estimation Approaches



**Fig. 4.** PLSR, RFR, and SVMR coefficients as measures of band importance within the ensemble, and bands selected by the ensemble and PLSR<sub>opt</sub> approach for the relation between reflectance and chlorophyll concentration (a), dry matter content (b), and water content (c). The color gradients illustrate the relative importance of the respective spectral band. The combination of PLSR, RFR, and SVMR coefficients determines the ensemble importance. The PLSR<sub>opt</sub> importances are used for comparison and evaluation purposes.

**Spectranomics Outcome Type 2:**  
**Different quantitative ways to connect**  
**spectroscopy, functional traits, and biological diversity**



# Spectroscopy to Species

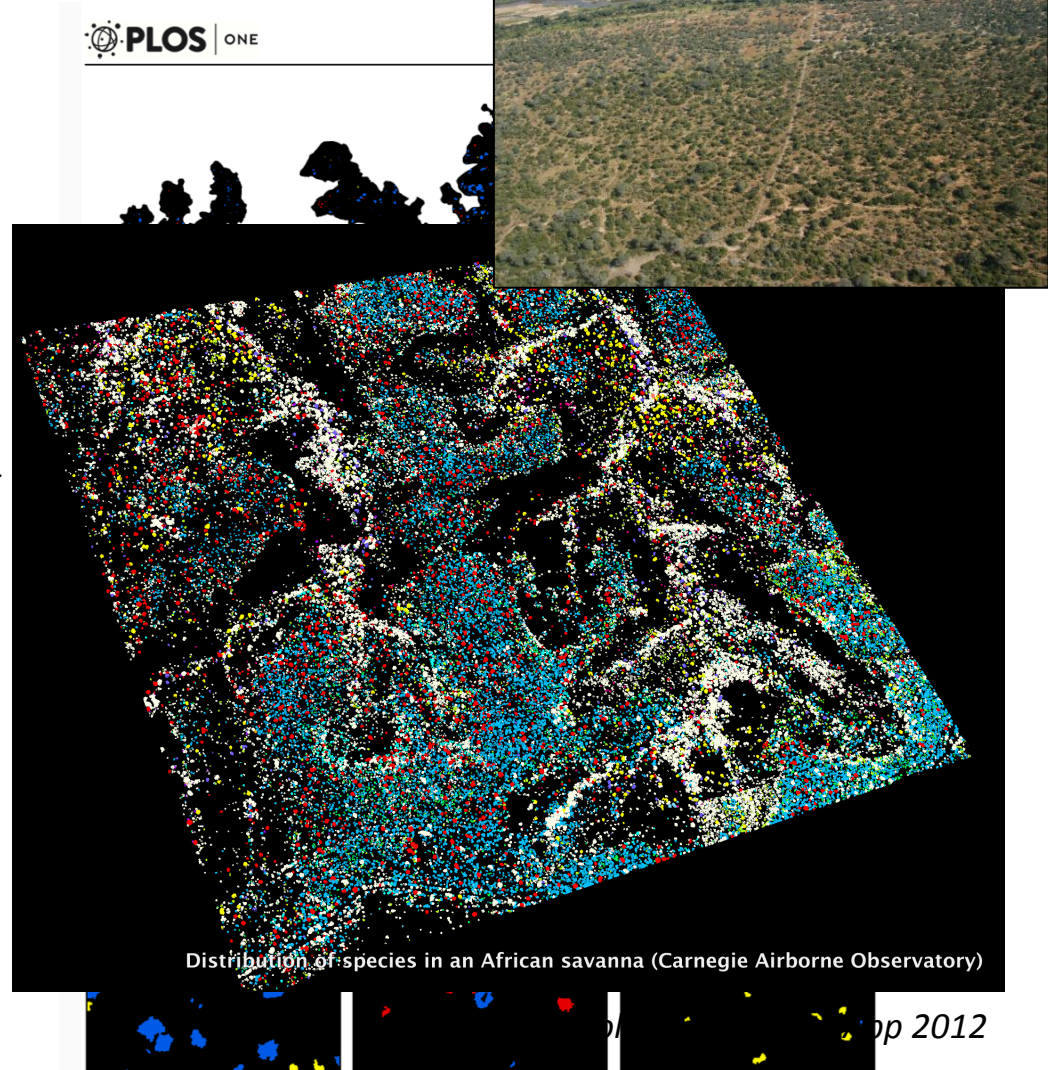
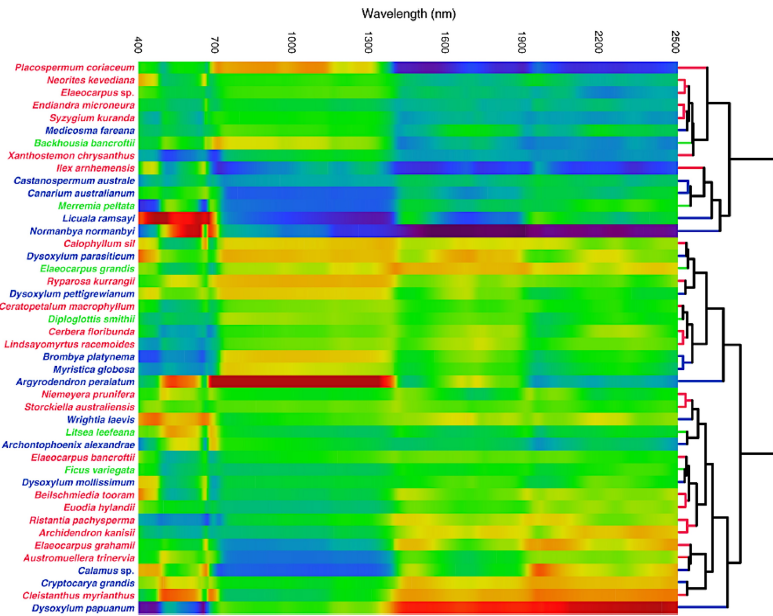
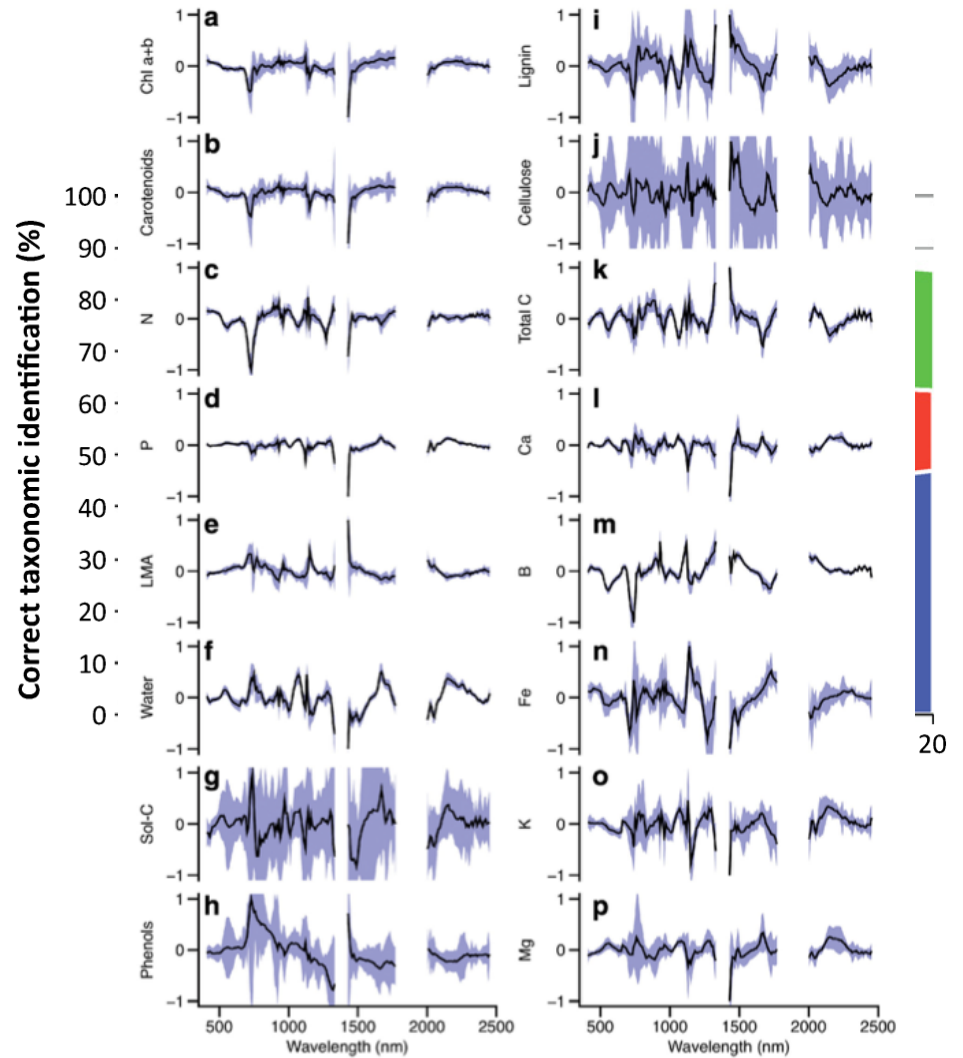
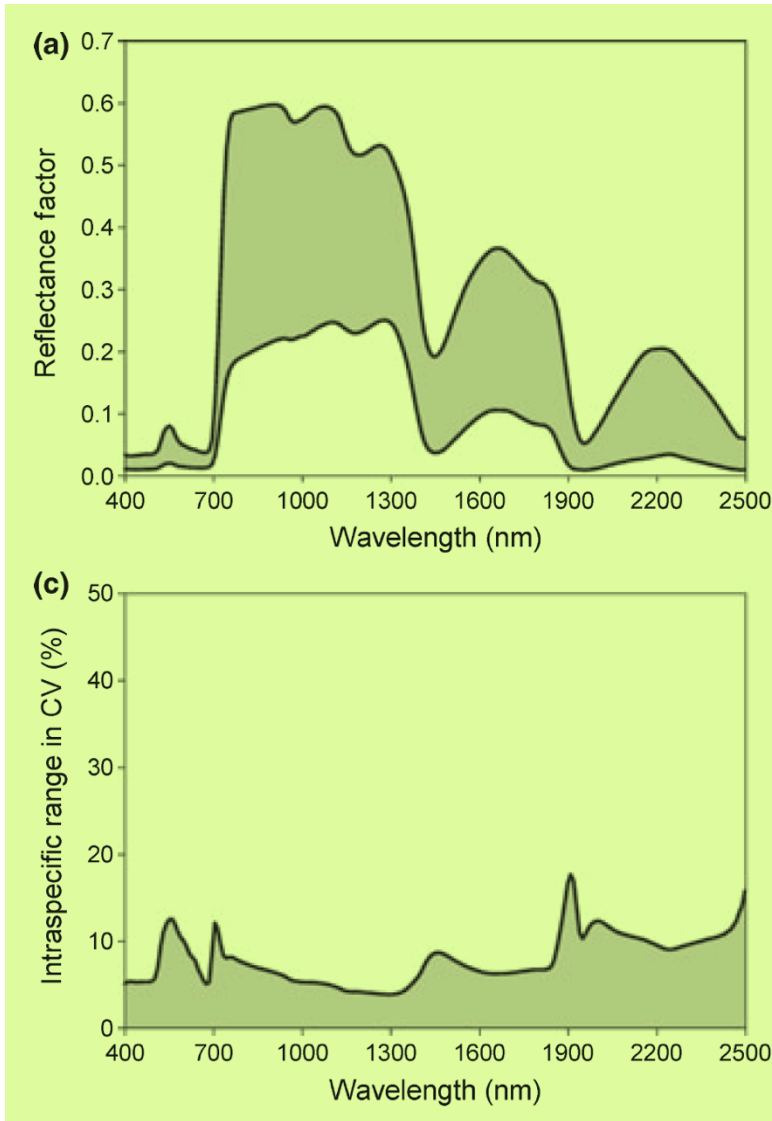


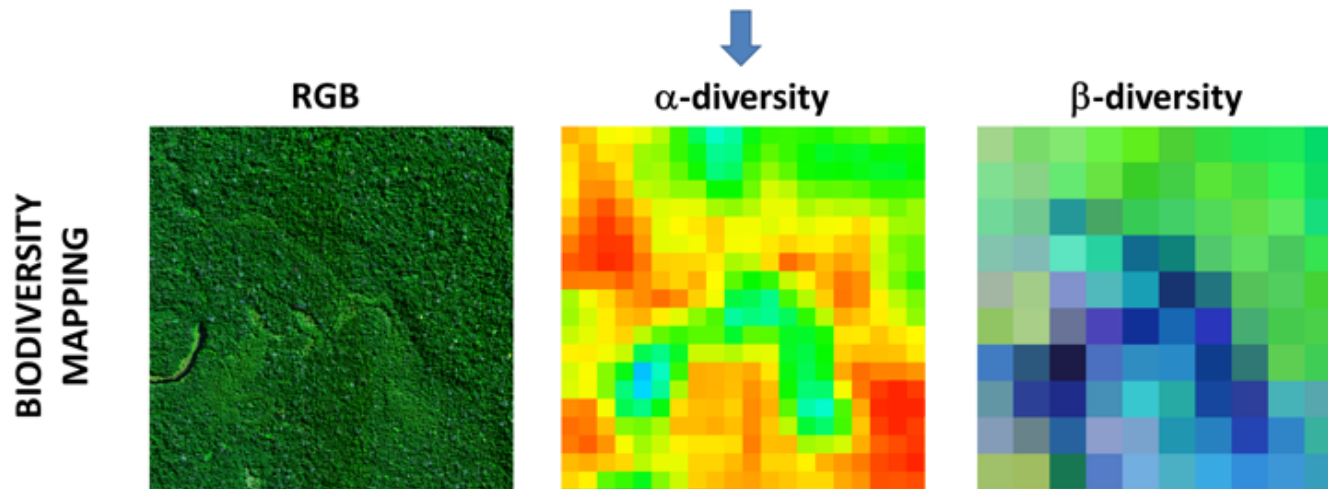
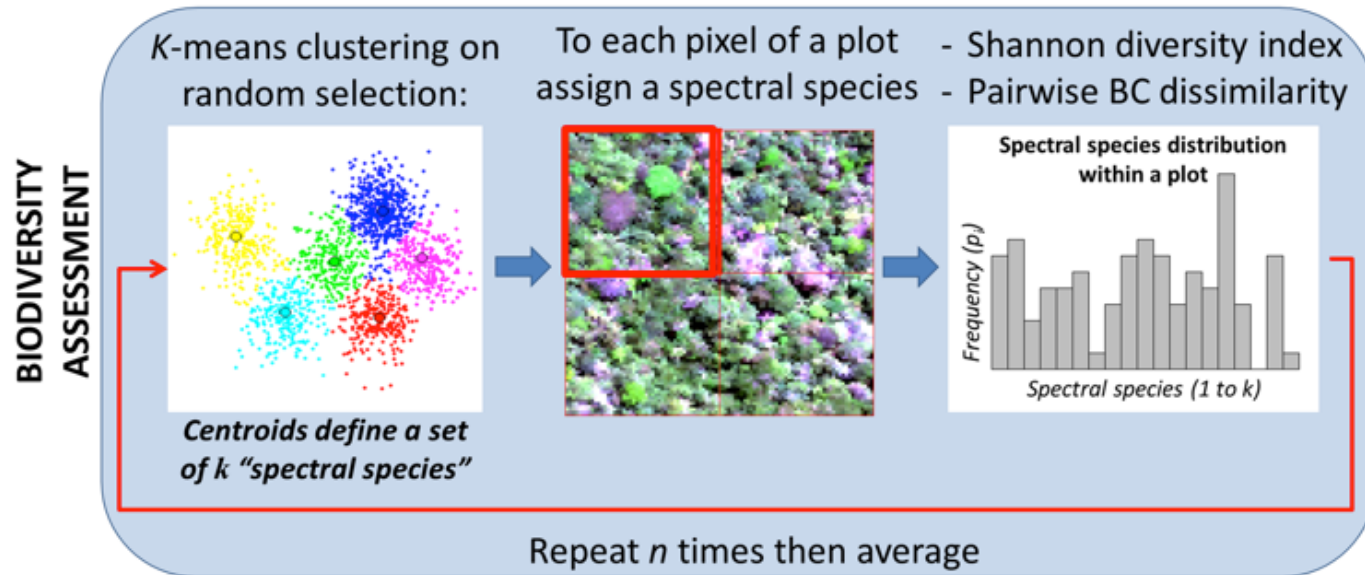
Fig 6. Prediction results for the three focal species across BCI. Blue = *D. panamensis*, red = *H. guayacan*, and yellow = *J. copaia*. Insets show close-up of results for high-density areas of each focal species.

doi:10.1371/journal.pone.0118403.g006

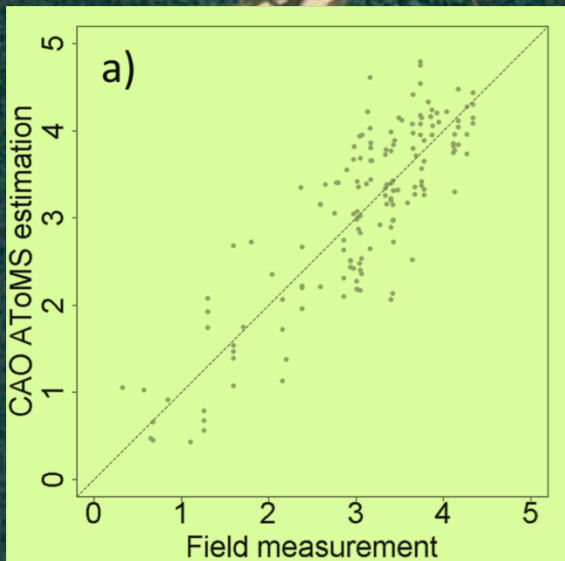
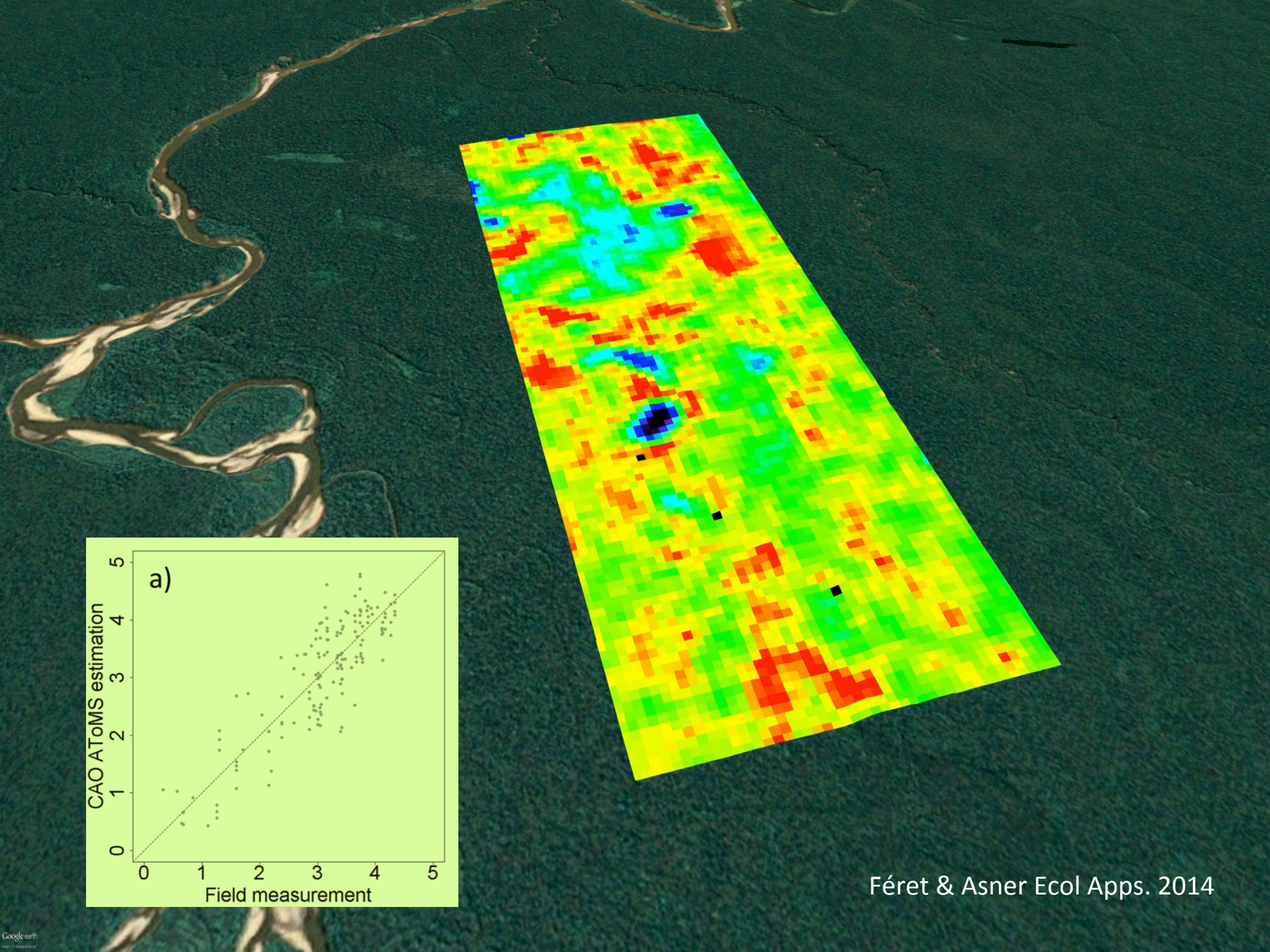
# Spectroscopy to Functional Traits to Biodiversity



# From Traits to Biological Diversity

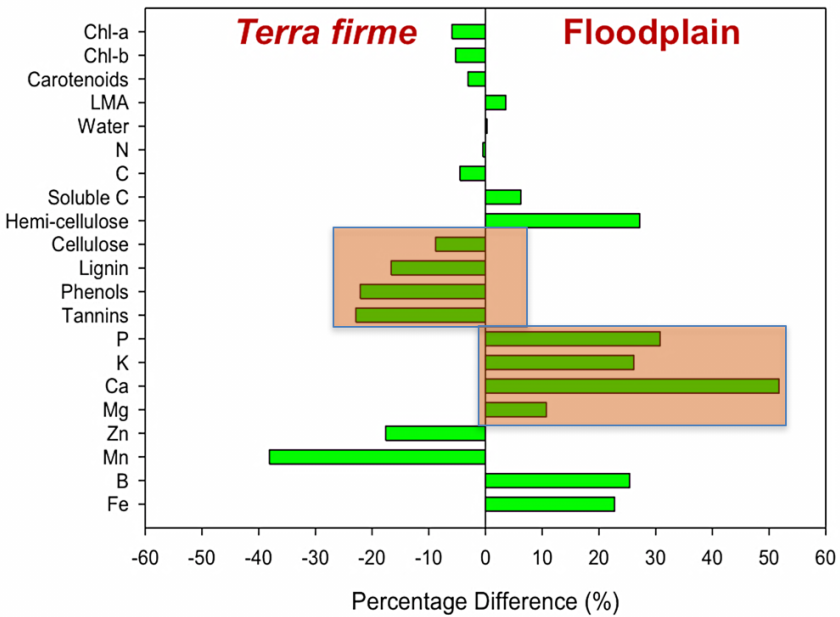




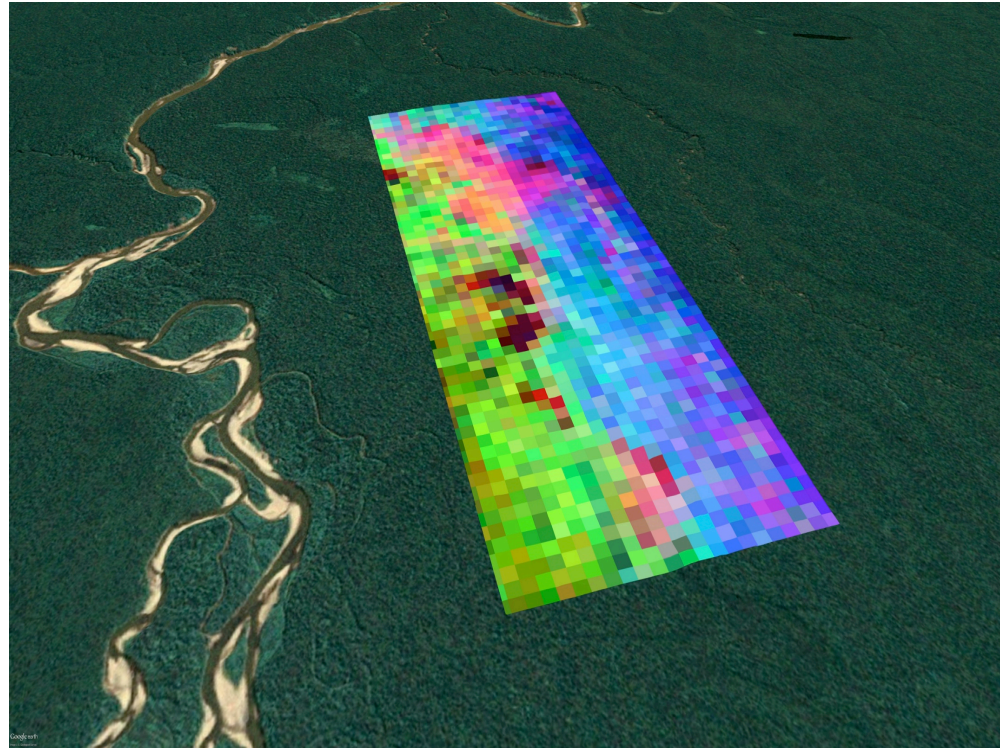




# Forecasting Spectroscopic Communities to Map

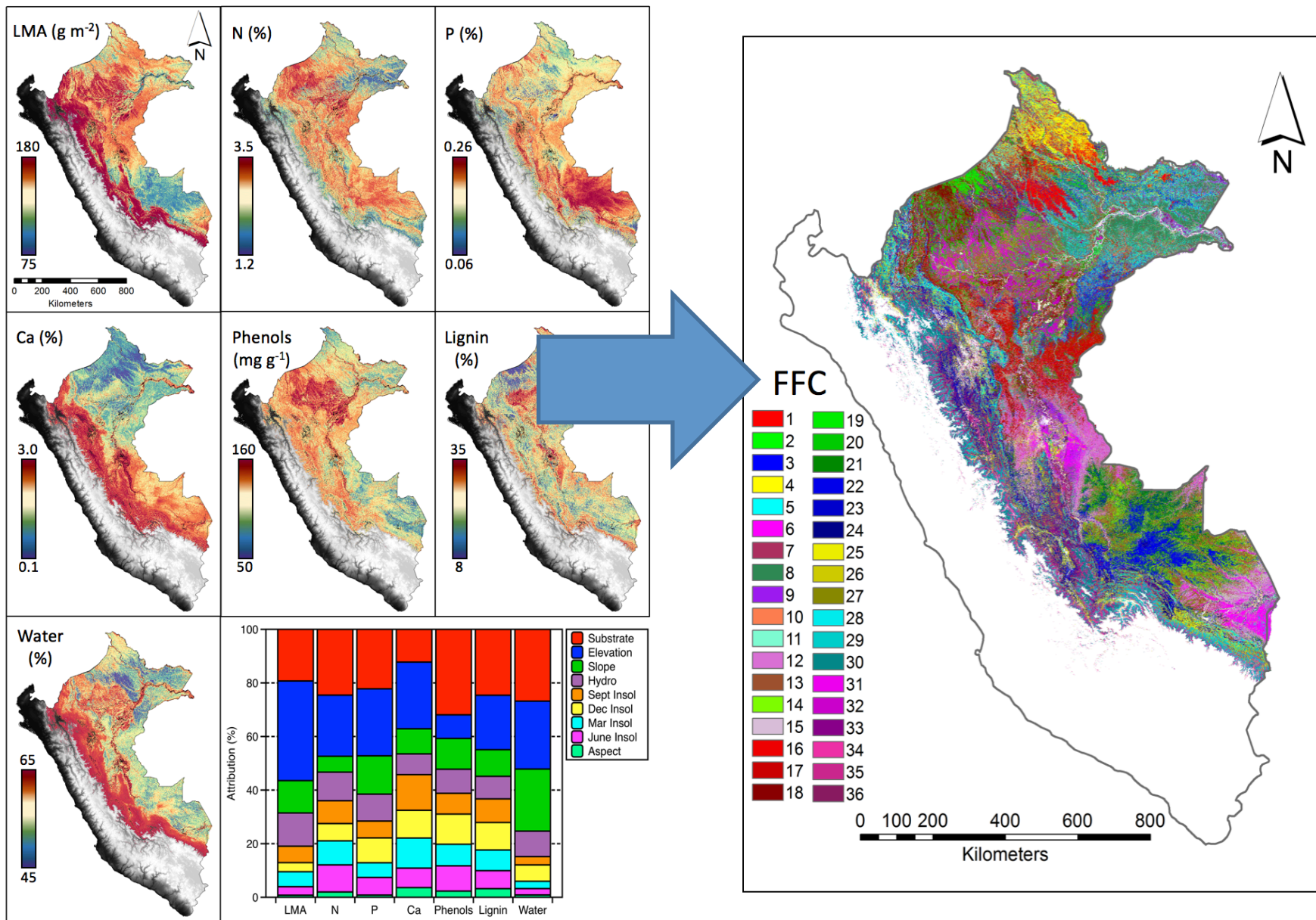


Asner & Martin *New Phyt* 2011



Feret & Asner *Ecol Apps* 2014

# Big Outcome: Spectroscopic Mapping at the Biospheric Level



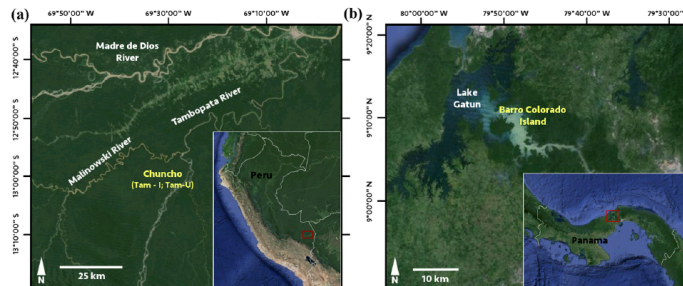
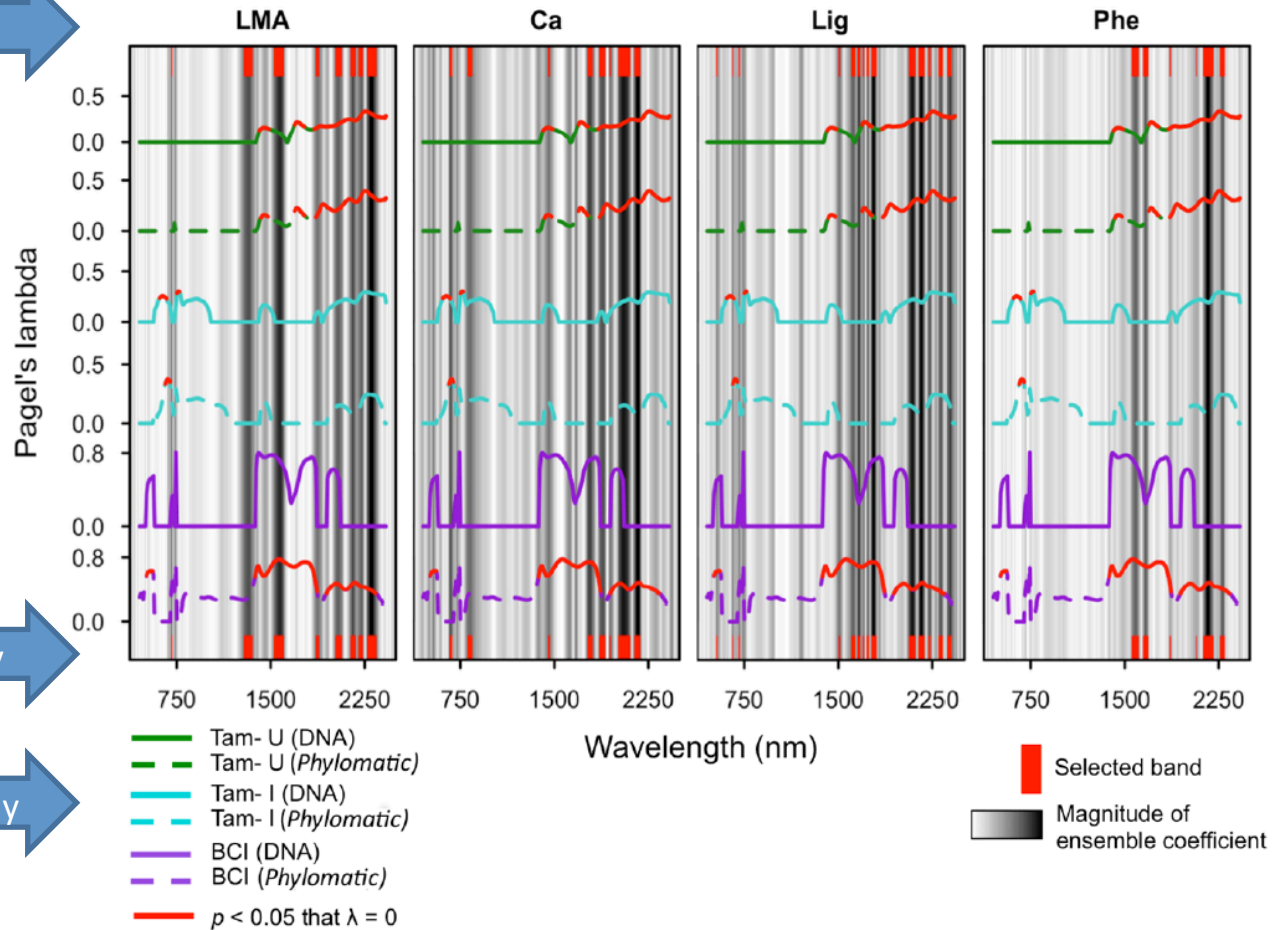


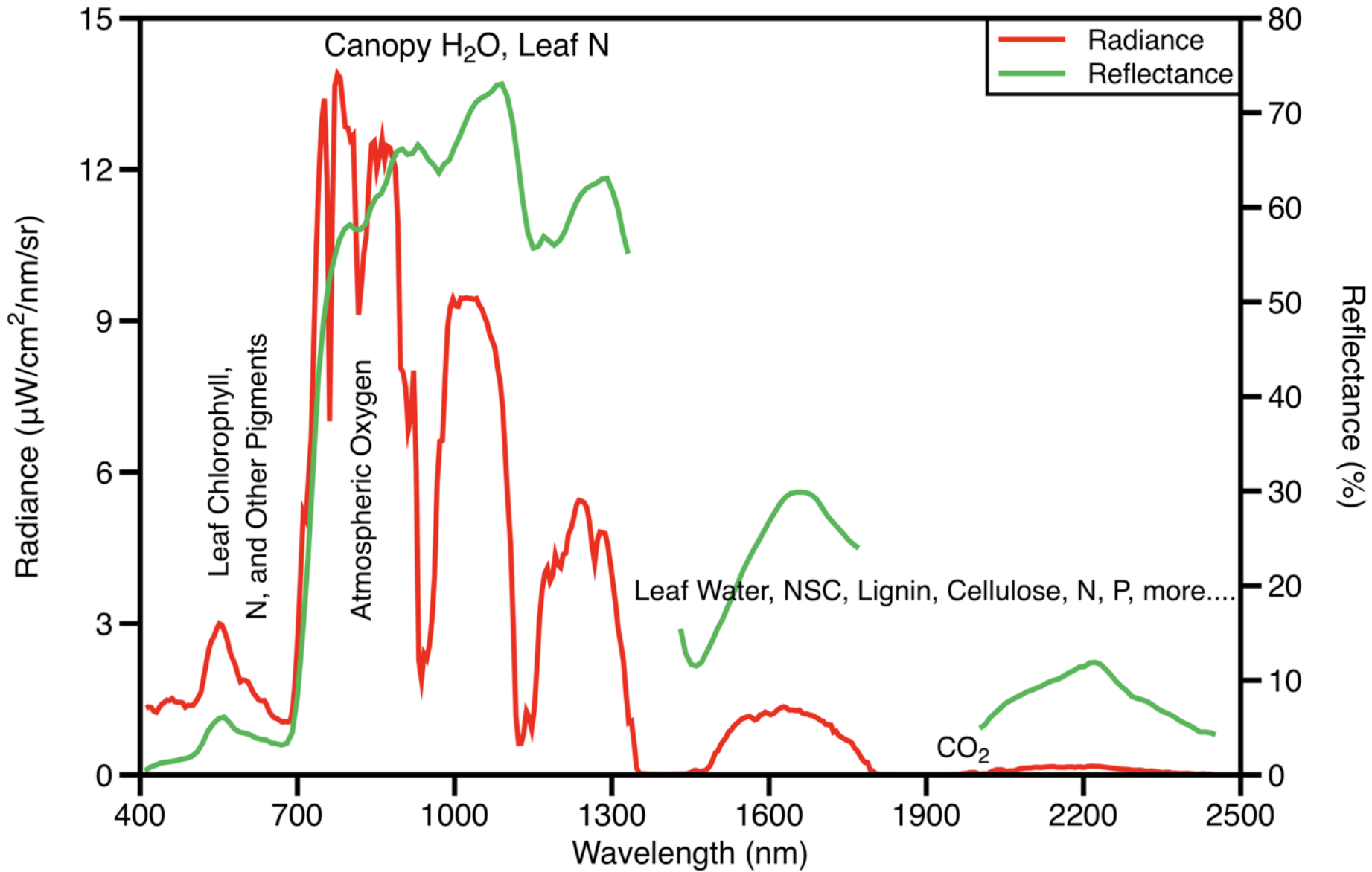
# Latest Science: Genetics, Spectroscopy and Functional Traits

Chemistry

Spectroscopy

DNA Phylogeny





# Are we ready for orbit?

- We know how to map the most essential elements of canopy functional and biological diversity. We know how to go global:
  - ✓ Stable high-fidelity imaging spectrometer with appropriate orbit/GSD/revisit
  - ✓ LiDAR/radar filtering techniques ready & available
  - ✓ Scalable algorithm pathways are known
  - ✓ Eco-Evo approach essential for max success
- I would like to get more people using the Spectranomics approach. Detailed protocols are freely available (<https://cao.carnegiescience.edu/spectranomics>)